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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
10/605,062	09/05/2003	David S. Colvin	COL406PUS	2061		
36547 BIR LAW, PLC	7590 08/06/200 C	7	EXAMINER			
13092 GLASG	OW CT.	REVAK, CHRISTOPHER A				
PLYMOUTH, MI 48170-5241			ART UNIT	PAPER NUMBER		
			2131			
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			08/06/2007	PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

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		Application No.	Applicant(s)	, ,		
		10/605,062	COLVIN, DAVID S.			
	Office Action Summary	Examiner	Art Unit			
		Christopher A. Revak	2131			
Period fo	The MAILING DATE of this communication app or Reply	pears on the cover sheet with the	ne correspondence address			
WHIC - Exte after - If NC - Failu Any	ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DANSIONS of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. O period for reply is specified above, the maximum statutory period vere to reply within the set or extended period for reply will, by statute reply received by the Office later than three months after the mailing ed patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICAT 36(a). In no event, however, may a reply to will apply and will expire SIX (6) MONTHS, cause the application to become ABAND	TON. De timely filed from the mailing date of this communication ONED (35 U.S.C. § 133).			
Status						
1)⊠	Responsive to communication(s) filed on 21 M	ay 2007.				
2a)⊠	This action is FINAL . 2b) ☐ This	action is non-final.				
3)[Since this application is in condition for allowar	·		S		
	closed in accordance with the practice under E	Ex parte Quayle, 1935 C.D. 11	, 453 O.G. 213.			
Disposit	ion of Claims					
4)⊠	Claim(s) 1-86 is/are pending in the application.					
	4a) Of the above claim(s) is/are withdraw	wn from consideration.				
	Claim(s) is/are allowed.					
•	6) Claim(s) <u>1-86</u> is/are rejected.					
,	Claim(s) is/are objected to.	r election requirement				
اـــا(٥	Claim(s) are subject to restriction and/o	r election requirement.				
Applicat	ion Papers					
,	The specification is objected to by the Examine					
10)🛛	The drawing(s) filed on <u>05 September 2003</u> is/s					
	Applicant may not request that any objection to the			۵۱		
11)	Replacement drawing sheet(s) including the correct The oath or declaration is objected to by the Ex			a).		
Priority	under 35 U.S.C. § 119					
-	Acknowledgment is made of a claim for foreign ☐ All b)☐ Some * c)☐ None of:	priority under 35 U.S.C. § 11	9(a)-(d) or (f).			
	1. Certified copies of the priority document					
	2. Certified copies of the priority document					
	3. Copies of the certified copies of the prior		eived in this National Stage			
* (application from the International Bureau See the attached detailed Office action for a list		aived			
`	See the attached detailed Office action for a list	or the certified copies not rec	51756.			
Attachmer	nt(s)					
1) 🛛 Noti	ce of References Cited (PTO-892)		mary (PTO-413)			
	ce of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO/SB/08)	property and the second	ail Date nal Patent Application			
	er No(s)/Mail Date	6) Other:				

DETAILED ACTION

Response to Arguments

- Applicant's arguments with respect to claims 1-86 have been considered but are 1. moot in view of the new ground of rejection.
- Applicant's arguments filed have been fully considered but they are not 2. persuasive.

It is argued by the applicant that the teachings of Ananda fail to disclose of a "software identifier that triggers an authentication and selective exchange of information".

The examiner disagrees with the applicant's assertion, Ananda discloses of header software which is used to prevent unauthorized usage of the software and communicates with a rental security manager which makes the determination if the software is authorized or unauthorized for usage based on a series of tests, or authentication which are triggered based upon usage, see column 10, lines 4-15. The identifier of Ananda is an application identifier which is used in the authentication process of Ananda to determine if the software is authorized for usage based on comparison, see column 14, lines 35-63 and column 15, lines 21-37.

As per the remaining dependent claims, the Applicant's arguments fail to comply with 37 CFR 1.111(b) because they amount to a general allegation that the claims define a patentable invention without specifically pointing out how the language of the claims patentably distinguishes them from the references.

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Terminal Disclaimer

3. The terminal disclaimer filed disclaiming the terminal portion of any patent granted on this has been reviewed and is accepted. The terminal disclaimer has been recorded.

Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 5. Claims 1-86 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ananda, U.S Patent 5,495,411 in view of Grundy, U.S. Patent 5,291,598.

As per claim 1, Ananda teaches of a method for securing software to reduce unauthorized use of the software, the method comprising providing software including data representing digital content; associating at least one identifier with the software prior to distribution of the software, the identifier being detectable by an authorized representative to request authentication of the software; and distributing the software with the at least one identifier to a user (col. 3, lines 11-15 & 21-28; col. 4, lines 18-28; col. 6, lines 57-63; and col. 10, lines 4-15). The teachings of Ananda fail to disclose of allowing the software to function if authorization is not detected based on an identifier

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not being detected. It is disclosed by Grundy of ownership details records being reviewed and if there is no information in regards to a full-function mode, the software will continue to operate (col. 5, lines 39-49). It would have been obvious to a person of ordinary skill in the art at the time of the invention to have been motivated to apply controls for dictating software usage requirements. The teachings of Grundy recite of motivational benefits by disclosing of the need to permit consumers to evaluate products more efficient and to provide for means to protect against privacy (col. 4, lines 9-18). It is obvious the teachings of Ananda would have benefited from the disclosure of Grundy in that further protection would have been added by allowing software to function even if not in a fully operational mode.

As per claim 2, Ananda discloses wherein the software is self-activating and self-authenticating in conjunction with an authorized representative located on or in the user device (col. 10, lines 4-15).

As per claim 3, it is taught by Ananda wherein the digital content is selected from the group consisting of data representing music, data representing video, instructions executable by a computer, code for an application program, code for an operating system, code for a game, data representing a movie, data representing graphics, data representing watermarked works, data representing a magazine, and data representing a book (col. 1, lines 17-19).

As per claim 4, it is disclosed by Ananda wherein the identifier is hidden from the user (col. 9, lines 25-34 and col. 10, line 63 through col. 11, line 8).

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As per claim 5, Ananda teaches wherein the identifier is tamper resistant to the user (col. 9, lines 25-34 and col. 10, line 63 through col. 11, line 8).

As per claim 6, Ananda discloses wherein the at least one identifier is embedded within a file of at least one component of the software (col. 9, lines 25-34 and col. 10, line 63 through col. 11, line 8).

As per claim 7, it is taught by Ananda wherein the at least one identifier is a binary code (col. 6, lines 57-63).

As per claim 8, it is disclosed by Ananda wherein the at least one identifier is encrypted (col. 9, lines 25-34 and col. 10, line 63 through col. 11, line 8).

As per claim 9, Ananda teaches wherein the step of distributing the software comprises electronically distributing the software (col. 3, lines 19-32).

As per claim 10, Ananda discloses wherein the step of distributing the software comprises distributing the software on a computer readable storage medium (col. 3, lines 57-63 and col. 9, lines 35-36).

As per claim 11, it is taught by Ananda of performing a process to determine whether an attempted access to the software is authorized based on detection of the at least one identifier (col. 3, lines 11-15).

As per claim 12, it is disclosed by Ananda wherein the step of performing a process comprises determining whether the attempted access to the software is authorized based on registration information associated with the software (col. 3, lines 11-15 & 21-28).

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As per claim 13, Ananda teaches wherein the step of performing a process comprises determining whether the attempted access to the software is authorized based on registration information associated with the software and registration information associated with a user device (col. 3, lines 11-15 & 21-28).

As per claim 14, Ananda discloses of communicating registration information to an authorized representative of the software; generating at least one authentication code based on the registration information; and associating the authentication code with the software (col. 3, lines 11-15 & 21-28).

As per claim 15, it is taught by Ananda wherein authorized representative functions are implemented by a user device (col. 10, lines 4-15 and col. 11, lines 61-65).

As per claim 16, it is disclosed by Ananda wherein authorized representative functions are implemented by software (col. 10, lines 4-15 and col. 11, lines 61-65).

As per claim 17, Ananda teaches wherein authorized representative functions are implemented by hardware (col. 10, lines 4-15 and col. 11, lines 61-65).

As per claim 18, Ananda discloses wherein authorized representative functions are implemented by hardware and software (col. 10, lines 4-15 and col. 11, lines 61-65).

As per claim 19, it is taught by Ananda wherein the at least one identifier is included in a filename for at least one component of the software (col. 6, lines 57-65).

As per claim 20, it is disclosed by Ananda wherein the identifier is selected from the group consisting of the filename, a filename prefix, a filename suffix, a filename extension, a filename extension prefix, and a filename extension suffix (col. 6, lines 57-65).

As per claim 21, Ananda teaches wherein the identifier is tamper resistant to the user (col. 9, lines 25-34 and col. 10, line 63 through col. 11, line 8).

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As per claim 22, Ananda discloses wherein the identifier is hidden to the user (col. 9, lines 25-34 and col. 10, line 63 through col. 11, line 8).

As per claim 23, it is taught by Ananda of a method for securing software to reduce unauthorized use of the software, the method comprising providing software including data representing digital content; associating a plurality of identifiers with the software prior to distribution of the software, at least one identifier being detectable by an authorized representative to request authentication of the software; and distributing the software with the plurality of identifiers to a user (col. 3, lines 11-15 & 21-28; col. 4, lines 18-28; col. 6, lines 57-63; and col. 10, lines 4-15). The teachings of Ananda fail to disclose of allowing the software to function if authorization is not detected based on an identifier not being detected. It is disclosed by Grundy of ownership details records being reviewed and if there is no information in regards to a full-function mode, the software will continue to operate (col. 5, lines 39-49). It would have been obvious to a person of ordinary skill in the art at the time of the invention to have been motivated to apply controls for dictating software usage requirements. The teachings of Grundy recite of motivational benefits by disclosing of the need to permit consumers to evaluate products more efficient and to provide for means to protect against privacy (col. 4, lines 9-18). It is obvious the teachings of Ananda would have benefited from the disclosure of Grundy in that further protection would have been added by allowing software to function even if not in a fully operational mode.

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As per claim 24, it is disclosed by Ananda wherein the software is self-activating and self-authenticating in conjunction with an authorized representative located on or in the user device (col. 10, lines 4-15).

As per claim 25, Ananda teaches wherein at least one of the identifiers is an activation code that must be entered by the user prior to transferring the software (col. 3, lines 11-15 & 21-28).

As per claim 26, Ananda discloses wherein the digital content is selected from the group consisting of data representing music, data representing video, instructions executable by a computer, code for an application program, code for an operating system, code for a game, data representing a movie, data representing graphics, data representing watermarked works, data representing a magazine, and data representing a book (col. 1, lines 17-19).

As per claim 27, it is taught by Ananda wherein at least one of the at least one identifiers is hidden from the user (col. 9, lines 25-34 and col. 10, line 63 through col. 11, line 8).

As per claim 28, it is disclosed by Ananda wherein at least one of the at least one identifiers is tamper resistant to the user (col. 9, lines 25-34 and col. 10, line 63 through col. 11, line 8).

As per claim 29, Ananda teaches wherein the at least one identifier is embedded within a file of at least one component of the software (col. 6, lines 57-65).

As per claim 30, Ananda discloses wherein the at least one identifier is a binary code (col. 6, lines 57-63).

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As per claim 31, it is taught by Ananda wherein the at least one identifier is encrypted (col. 9, lines 25-34 and col. 10, line 63 through col. 11, line 8).

As per claim 32, it is disclosed by Ananda wherein the step of distributing the software comprises electronically distributing the software (col. 3, lines 57-63 and col. 9, lines 35-36).

As per claim 33, Ananda teaches wherein the step of distributing the software comprises distributing the software on a computer readable storage medium (col. 3, lines 57-63 and col. 9, lines 35-36).

As per claim 34, Ananda discloses of performing a process to determine whether an attempted access to the software is authorized based on detection of the at least one identifier (col. 3, lines 11-15).

As per claim 35, it is taught by Ananda wherein the step of performing a process comprises determining whether the attempted access to the software is authorized based on registration information associated with the software (col. 3, lines 21-29).

As per claim 36, it is disclosed by Ananda wherein the step of performing a process comprises determining whether the attempted access to the software is authorized based on registration information associated with the software and registration information associated with a user device (col. 3, lines 21-29).

As per claim 37, Ananda teaches of communicating registration information to an authorized representative of the software; generating at least one authentication code based on the registration information; and associating the authentication code with the software (col. 11, lines 9-13).

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As per claim 38, Ananda discloses wherein authorized representative functions are implemented by a user device (col. 10, lines 4-15 and col. 11, lines 61-65).

As per claim 39, it is taught by Ananda wherein authorized representative functions are implemented by software (col. 10, lines 4-15 and col. 11, lines 61-65).

As per claim 40, it is disclosed by Ananda wherein authorized representative functions are implemented by hardware (col. 10, lines 4-15 and col. 11, lines 61-65).

As per claim 41, Ananda teaches wherein authorized representative functions are implemented by hardware and software (col. 10, lines 4-15 and col. 11, lines 61-65).

As per claim 42, Ananda discloses wherein the at least one identifier is included in a file name for at least one component of the software (col. 6, lines 57-65).

As per claim 43, it is taught by Ananda wherein the identifier is selected from the group consisting of a filename, a filename prefix, a filename suffix, a filename extension, a filename extension prefix, and a filename extension suffix (col. 6, lines 57-65).

As per claim 44, it is disclosed by Ananda wherein the identifier is tamper resistant to the user (col. 9, lines 25-34 and col. 10, line 63 through col. 11, line 8).

As per claim 45, Ananda teaches wherein the identifier is hidden to the user (col. 9, lines 25-34 and col. 10, line 63 through col. 11, line 8).

As per claim 46, Ananda discloses of a method for securing software to reduce unauthorized use having at least one authorized representative entity installed on or in a user device, the method comprising associating at least one identifier with the software to designate the software for protection from unauthorized use; detecting the at least one identifier using the authorized representative installed on or in the user device;

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determining whether the user device is authorized to access the software using the authorized representative entity installed on or in the user device; and controlling access to the software based on whether the user device is determined to be authorized (col. 3, lines 11-15 & 21-28; col. 4, lines 18-28; col. 6, lines 57-63; and col. 10, lines 4-15). The teachings of Ananda fail to disclose of allowing the software to function if authorization is not detected based on an identifier not being detected. It is disclosed by Grundy of ownership details records being reviewed and if there is no information in regards to a full-function mode, the software will continue to operate (col. 5, lines 39-49). It would have been obvious to a person of ordinary skill in the art at the time of the invention to have been motivated to apply controls for dictating software usage requirements. The teachings of Grundy recite of motivational benefits by disclosing of the need to permit consumers to evaluate products more efficient and to provide for means to protect against privacy (col. 4, lines 9-18). It is obvious the teachings of Ananda would have benefited from the disclosure of Grundy in that further protection would have been added by allowing software to function even if not in a fully operational mode.

As per claim 47, it is taught by Ananda wherein the software is self-activating and self-authenticating in conjunction with an authorized representative located on or in the user device (col. 10, lines 4-15).

As per claim 48, it is disclosed by Ananda of determining whether the user device is authorized to access the software using a remotely located authorized representative

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entity in combination with the at least one authorized representative entity installed on or in the user device (col. 10, lines 4-15 and col. 11, lines 61-65).

As per claim 49, Ananda teaches wherein the at least one authorized representative entity installed on or in the user device comprises a computer chip (col. 6, lines 57-63).

As per claim 50, Ananda discloses wherein the at least one authorized representative entity installed on or in the user device comprises program instructions executed by a microprocessor (col. 6, lines 57-63).

As per claim 51, it is taught by Ananda wherein the program instructions comprise an operating system component (col. 6, lines 57-63).

As per claim 52, it is disclosed by Ananda wherein the program instructions comprise an application program (col. 6, lines 57-63).

As per claim 53, Ananda teaches wherein the program instructions comprise a driver for a secondary device (col. 10, lines 4-15).

As per claim 54, Ananda discloses wherein the step of determining whether the user device is authorized comprises comparing registration information associated with the user device to registration information associated with the software (col. 3, lines 16-49).

As per claim 55, it is taught by Ananda wherein the registration information associated with the software is embedded within an authentication code (col. 3, lines 24-28).

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As per claim 56, it is disclosed by Ananda wherein the registration information associated with the software is encrypted (col. 11, line 61 through col. 12, line 14).

As per claim 57, Ananda teaches wherein the registration information includes hardware information (col. 9, lines 5-6).

As per claim 58, Ananda discloses wherein the registration information includes hardware information associated with a unique user device (col. 3, lines 11-15).

As per claim 59, it is taught by Ananda wherein the hardware information includes a serial number (col. 8, lines 18-23).

As per claim 60, it is disclosed by Ananda wherein the registration information includes hardware information associated with a group of user devices (col. 3, lines 11-15).

As per claim 61, Ananda teaches wherein the authorized representative entity is installed by a manufacturer of the user device (col. 9, lines 35-36).

As per claim 62, Ananda discloses wherein the authorized representative entity is installed from a computer readable storage medium (col. 6, lines 57-63 and col. 9, lines 35-36).

As per claim 63, it is taught by Ananda wherein the authorized representative entity is installed from the software (col. 9, lines 35-36).

As per claim 64, it is disclosed by Ananda wherein the authorized representative entity is downloaded to the user device (col. 9, lines 35-36).

As per claim 65, Ananda teaches wherein the authorized representative entity is transferred to the user device from a network (col. 9, lines 35-36).

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As per claim 66, Ananda discloses wherein the step of controlling access comprises preventing the software from being transferred to a second user device (col. 10, lines 8-15).

As per claim 67, it is taught by Ananda wherein the step of controlling access comprises preventing the software from being transferred to a user device if at least one authorized representative is inaccessible (col. 10, lines 8-15).

As per claim 68, it is disclosed by Ananda wherein the step of controlling access comprises preventing the software from being installed on a user device if at least one authorized representative is not present (col. 10, lines 8-15).

As per claim 69, Ananda teaches wherein the step of controlling access comprises preventing the software from being executed by the user device (col. 10, lines 8-15).

As per claim 70, Ananda discloses wherein the step of controlling access comprises providing limited access to the software (col. 10, lines 8-15).

As per claim 71, it is taught by Ananda wherein the software comprises digital content (col. 1, lines 17-19).

As per claim 72, it is disclosed by Ananda wherein the software is selected from the group consisting of data representing music, data representing video, instructions executable by a computer, code for an application program, code for an operating system, code for a game, data representing a movie, data representing graphics, data representing watermarked works, data representing a magazine, and data representing a book (col. 1, lines 17-19).

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As per claim 73, Ananda teaches wherein the software comprises instructions for generating at least one authentication code based on registration information

associated with the user device (col. 11, lines 9-13).

As per claim 74, Ananda discloses wherein the software comprises instructions for encrypting the authentication code (col. 9, lines 25-34 and col. 10, line 63 through col. 11, line 8).

As per claim 75, it is taught by Ananda of a method for securing software to reduce unauthorized use of the software, the method comprising providing software including data representing digital content; detecting an identifier associated with the software indicating that protection from unauthorized use is desired; communicating with an authorized representative entity to determine whether a user device attempting to access the software is authorized to access the software; and controlling access to the software based on whether the user device is authorized (col. 3, lines 11-15 & 21-28; col. 4, lines 18-28; col. 6, lines 57-63; and col. 10, lines 4-15). The teachings of Ananda fail to disclose of allowing the software to function if authorization is not detected based on an identifier not being detected. It is disclosed by Grundy of ownership details records being reviewed and if there is no information in regards to a full-function mode, the software will continue to operate (col. 5, lines 39-49). It would have been obvious to a person of ordinary skill in the art at the time of the invention to have been motivated to apply controls for dictating software usage requirements. The teachings of Grundy recite of motivational benefits by disclosing of the need to permit consumers to evaluate products more efficient and to provide for means to protect

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against privacy (col. 4, lines 9-18). It is obvious the teachings of Ananda would have benefited from the disclosure of Grundy in that further protection would have been added by allowing software to function even if not in a fully operational mode.

As per claim 76, it is disclosed by Ananda wherein the software is self-activating and self-authenticating in conjunction with an authorized representative located on or in the user device (col. 10, lines 4-15).

As per claim 77, Ananda teaches wherein the identifier associated with the software is contained within a filename for the software (col. 6, lines 57-65).

As per claim 78, Ananda discloses wherein the authorized representative entity is a hardware device (col. 10, lines 4-15 and col. 11, lines 61-65).

As per claim 79, it is taught by Ananda wherein the step of communicating with the authorized representative entity comprises communicating with at least one software module associated with the user device (col. 3, lines 11-15 & 21-28).

As per claim 80, it is disclosed by Ananda wherein the authorized representative entity is installed on the user device (col. 10, lines 4-15 and col. 11, lines 61-65).

As per claim 81, Ananda teaches of generating an authentication code based on registration information associated with the user device; and associating the authentication code with the software (col. 3, lines 11-15 & 21-28).

As per claim 82, Ananda discloses wherein the step of communicating comprises generating an authentication code based on registration information associated with the user device; and comparing the authentication code with a previously generated

authentication code associated with the software to determine if the user device is authorized (col. 3, lines 11-15 & 21-28).

As per claim 83, it is taught by Ananda wherein the step of comparing the authentication code comprises determining if at least a portion of system information associated with the user device matches system information encoded within the authentication code associated with the software (col. 3, lines 11-15 & 21-28).

As per claim 84, it is disclosed by Ananda wherein the registration information includes hardware-specific information (col. 9, lines 5-6 and col. 8, lines 18-23).

As per claim 85, Ananda teaches wherein the authorized representative entity is installed on or in the user device (col. 6, lines 57-63).

As per claim 86, Ananda discloses wherein the digital content is selected from the group consisting of data representing music, data representing video, instructions executable by a computer, code for an application program, code for an operating system, code for a game, data representing a movie, data representing graphics, data representing watermarked works, data representing a magazine, and data representing a book (col. 1, lines 17-19).

Conclusion

6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

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A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christopher A. Revak whose telephone number is 571-272-3794. The examiner can normally be reached on Monday-Friday, 6:30am-3:00pm. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz Sheikh can be reached on 571-272-3795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO

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Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

August 2, 2007

CHRISTOPHER REVAK PRIMARY EXAMINER